



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b>  <b>A61K 9/127</b>	<b>A2</b>	<b>(11) International Publication Number:</b> <b>WO 99/29301</b>  <b>(43) International Publication Date:</b> 17 June 1999 (17.06.99)
<b>(21) International Application Number:</b> PCT/GB98/03658 <b>(22) International Filing Date:</b> 8 December 1998 (08.12.98)  <b>(30) Priority Data:</b> 9725946.9 8 December 1997 (08.12.97) GB  <b>(71) Applicant (for all designated States except US):</b> PHARES PHARMACEUTICAL RESEARCH N.V. [NL/NL]; John B. Gorsiraweg No. 6, P.O. Box 889, Curacao (AN).  <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> LEIGH, Steven [GB/GB]; Beresford & Co., 2-5 Warwick Court, High Holborn, London WC1R 5DJ (GB).  <b>(74) Agents:</b> BERESFORD, Keith, Denis, Lewis et al.; Beresford & Co., 2-5 Warwick Court, High Holborn, London WC1R 5DJ (GB).		<b>(81) Designated States:</b> JP, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>Without international search report and to be republished          upon receipt of that report.</i>
<b>(54) Title:</b> LIPID COMPOSITIONS AND THEIR USE  <b>(57) Abstract</b>  <p>Use is disclosed of a substantially non-aqueous composition comprising at least one membrane lipid and/or monoacyl derivative thereof suspended in a hydrophilic medium for the manufacture of a composition for application to the mucosa e.g. as a soothing, protective or lubricating agent. The composition can advantageously be used as a carrier for a medicament in molecular dispersion. A method is provided for preparing anti-fungal lipid-based compositions which can achieve high levels of entrapment of a drug and which are stable to storage but convert to liposomes, micelles or like structures in contact with the mucosa. Membrane lipid is dissolved in a first anhydrous organic liquid, for example ethanol, after which the drug is dissolved or dispersed in the resulting mixture and a second anhydrous organic liquid is added to form an anhydrous composition in which the lipid is at least partly in the form of solvate bilayers. A hydrocolloid, hydrophilic polymer or natural gum may be present to render the composition more muco-adhesive. The method has particular value for the preparation of compositions containing miconazole, clotrimazole and amphotericin B.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## LIPID COMPOSITIONS AND THEIR USE

INTRODUCTION

5 The present invention relates to lipid compositions which can be applied to the mucosa as soothing, protective or lubricating agents or for carriers of medicaments in molecular dispersion.

10 LIPID COMPOSITIONS FOR APPLICATION TO THE MUCOSA

One problem with which the invention is concerned is the provision of compositions for application to body surfaces e.g. skin or mucosal membranes that have a natural affinity for said surface.

15

That problem is solved by the use of a substantially non-aqueous composition comprising at least one membrane lipid or mono acyl derivative thereof suspended in a hydrophillic medium in the manufacture of a composition for application to the mucosa as a soothing, protective or lubricating agent or as a carrier of a medicament in molecular dispersion.

20

Certain of the compositions may be required to adhere to the mucosa, in which case they advantageously contain a hydrocolloid, polymer or natural gum.

25

The compositions, with or without an active compound present, may be applied to the places indicated below for the purposes indicated below.

30

(a) oral, buccal mucosa for soothing mouth ulcers;

(b) vaginal mucosa for soothing, protection or lubrication;

35

- (c) rectal mucosa for the relief of haemorrhoids;
- (d) a stoma for a protection and/or lubrication;
- (e) a surgical device or glove as a lubricant;
- (f) gastric mucosa.

5

They are resistant to microbial growth and may be used without added preservative. They preferably have a pH of 5 - 7.5. The compositions may be used as such, or they may be mixed with water or aqueous liquid prior to use e.g. to produce a rinse or gargle.

10

According to a further aspect of the invention, the compositions may be used for delivery of water-insoluble and/or lipophilic compounds. The invention enables improvements to be brought about in the bio-availability and efficacy of a large number of biologically active materials including medicaments, hormones, vaccines, peptides, vitamins and related materials of medical and biological interest such as antioxidants, marker compounds and flavouring materials. The invention is particularly useful for solubilising water insoluble and lipophilic bioactive compounds for the topical treatment of the skin and of superficial infections of the moist cutaneous areas of the body involving the oral mucous membranes, upper respiratory tract, and vagina. The compositions are especially suitable for local application to mucosal membranes to treat conditions which respond to topical medication with anti-inflammatory, biocidal and cytotoxic agents or immunomodulating agents (vaccines). In a specific embodiment, the invention is a carrier for anti-fungal compounds.

15

20

25

30

35

PROBLEMS IN THE DELIVERY OF ANTI-FUNGAL AGENTS AND OTHER  
HYDROPHOBIC MEDICAMENTS

Yeasts, normally reside harmlessly on or in the human host, but on occasion, they can take advantage of the host's debilities and disorders to cause infections of a remarkably wide range of moist membranous tissues.

5 Such infections are usually described under the generic name candidiasis. Most common candidiasis infections are superficial lesions, especially infections of the mucous surfaces of the mouth or vagina. Oral and vaginal forms of candidiasis are commonly known as 'thrush'. Such

10 ailments can normally be effectively treated with conventional topical antifungal preparations.

With the increasingly widespread use of antibiotics, immuno-suppressant and cytotoxic drugs, however, these

15 normally relatively low-level infections can become much more serious. This is particularly the case for the increasing number of patients with diseases such as acquired immune deficiency syndrome, where the normal immunological protection of the patient is severely

20 compromised. The low bio-availability of the active ingredients in conventional formulations means that these are generally employed sub-optimally and therefore there is an urgent need for effective delivery systems that are more patient compliant and convenient to use.

25 There is much current research into more efficient mucosal drug-delivery systems for treating fungal infections. The main problem lies firstly, in the nature of most anti-fungal agents and secondly, the area to be

30 treated. Antifungal compounds are mostly lipophilic molecules with very low aqueous solubility which limits the release of drug to the surrounding milieu. This raises considerable problems concerning the choice of a suitable carrier in which the active ingredient can be

35 formulated in a readily available molecular dispersion

and thereafter retain a sufficient concentration locally in the presence of natural secretions.

A great deal of effort has been directed at tackling these two problems by the expedient of (a) employing solvents to solubilise the compound or (b) increasing muco-adhesion to prolong contact and release of the active ingredient to the mucosal surfaces. Improved muco-adhesion can be obtained by using water-soluble hydrocolloids (e.g. carboxymethylcellulose), polymers (e.g. carboxyvinyl co-polymers) and natural gums (e.g. sodium alginates) to form viscous gels which are applied to the mucosal surfaces. Alternatively, the hydrocolloids are simply dispersed in a non aqueous base. On exposure to water, these materials swell and confer some muco-adhesive properties to the base.

These approaches, whilst prolonging contact and increasing the potential for the transfer of active ingredient to the mucosal membranes still offer limited benefit because of the lipophilic and low aqueous solubility of most anti-fungal compounds. In reality, only a small amount of the drug is available in aqueous molecular solution for absorption, and the reservoir of undissolved drug is poorly mobilised. Ethanol and other organic solvents are commonly used in small amounts in the formulations to improve solubility. The efficacy of such preparations for topical use is however restricted by the tendency for the saliva and other body secretions to precipitate dissolved compounds. For adequate solubilisation, larger quantities of organic solvents and/or surfactants are therefore required. This in turn can create problems because the amount of active compound released from such formulations may not be sufficient due to unfavourably high partition coefficients.

Furthermore, to be useful, the formulation should contain components that are non-toxic, non-sensitising and non-irritant, especially on inflamed and sensitive mucosal membranes. Preferably, it should have components that  
5 are naturally compatible with mucosal surfaces. In practice, most solvents and artificial surfactants that can effectively solubilise poorly soluble, lipophilic compounds fall short of these requirements. An ideal formulation would be a natural carrier that remains in  
10 the milieu around the infection, and delivers the drug in molecular solution.

Amphotericin is regarded to be one of the most potent antifungals. Few instances of resistance to amphotericin  
15 have been reported. However, its nephrotoxicity generally restricts its use in the topical treatment of mucosal fungal infections, where it is given as a gargle or a lozenge. It is administered intravenously only in serious systemic infections that do not respond to  
20 first line antifungals.

The use of liposomes to trap water insoluble/lipophilic molecules and thus allow higher, more effective doses to be given has been extensively explored. One reason for  
25 the reduction in toxicity of amphotericin in lipid carriers may be due to an association with the lipid, thereby reducing the amount of free drug which is more toxic. The translation of these benefits into practice to enable the wider and more effective use of  
30 amphotericin has, however, proved more difficult.

As detailed below, a number of approaches to liposome/lipid entrapment of amphotericin for the intravenous treatment of systemic fungal infections have  
35 been disclosed. These approaches, however, have proved

too expensive for routine and widespread use because of their requirement for expensive lipids. In addition the manufacturing costs are high, requiring large volumes of solvents, evaporation, lyophilisation and specialised processing equipment. Therefore, there would be considerable benefits in reducing the complexity and costs in allowing amphotericin (and other lipophilic compounds) to be used more routinely in the treatment of diseases.

10

EP-A-0317120 claims a composition and method for solubilising a poorly soluble amphiphilic drug e.g. amphotericin, by forming a complex with a charged lipid, phosphatidyl glycerol (PG) in acidified organic solvent at a pH between 1 and 3. The complex can be incorporated into liposomes, which may be freeze-dried for long-term storage. The freeze dried composition is re-hydrated with aqueous buffer before administration. This method is claimed to give high lipid-amphotericin association, allowing higher doses of drug to be given intravenously.

20

US-A-5180713 also claims a freeze dried amphotericin composition prepared from lyophilising a liposome-amphotericin suspension having a predetermined size distribution between 0.2 to 0.5 micron in the presence of a stabilising agent eg a disaccharide, trehalose. The inclusion of trehalose is claimed to preserve the size of the liposomes on reconstitution with aqueous medium, thereby allowing long term storage of the freeze dried preparation.

30

EP-A-0282405 describes methods and compositions for non-liposomal lipid complexes formed from associations between toxic hydrophobic drugs such as amphotericin B and combinations of dimyristoylphosphatidylcholine (DMPC)

35



and dimyristoylphosphatidyl glycerol (DMPG) in about 7:3 mole ratio.

5 The prior art contains a large number of reports on the clinical benefits of lipid-amphotericin associations. The smaller number of disclosures concerning compositions and methods of preparation all require essentially charged lipids with high phase transition temperatures e.g. di-palmitoyl phosphatidylglycerol (DPPG), combined  
10 with neutral lipids e.g. di-myristoyl phosphatidylcholine (DMPC) and di-palmitoyl phosphatidylcholine (DPPC). The negatively charged lipid forms a complex with ionised amphotericin at low pH.

USE OF THE PRESENT LIPID COMPOSITIONS TO SOLUBILISE  
WATER-INSOLUBLE AND LIPOPHILIC COMPOUNDS

- The present invention describes a novel approach to the problems of using membrane lipids for the solubilisation and preparation of lipid based compositions containing water insoluble and lipophilic compounds generally, and anti-fungal agents in particular. The method disclosed is simple, effective and inexpensive to put into practice. Furthermore it has the advantage of employing membrane lipids or mono-acyl derivatives thereof that are compatible with natural membrane surfaces, and are not harmful. Advantageously, the membrane lipids used in the invention confer improved chemical and physical stability to the active compound and allow extended storage under appropriate conditions. The resultant compositions surprisingly show enhanced biocidal activity when tested against conventional formulations of the same compound at similar concentrations.
- 20 The present invention enables a lipophilic compound e.g. a fungicide to be incorporated in solution or dispersion in a lipid, said lipid being in the form of solvated bilayers in a generally anhydrous organic medium. It should be understood that the term "anhydrous" as used herein, does not exclude the presence of small amounts of water and those quantities of water which are carried in ingredients such as ethanol and glycerol and which are difficult or expensive to remove completely.
- 30 The invention provides compositions and methods that enable lipophilic biologically active materials to be incorporated into membrane lipid, in a form which converts into liposomes, micelles or related structures with high entrapment on addition or exposure to aqueous medium. It should be emphasised that the formation of

liposomes is not an essential requirement of the present invention. In some cases, depending on the nature of the active compound and/or the choice of lipid used, the compositions may result in formation of one or more type  
5 of other lipid structures such as micelles or mixed micelles. The type of lipid structures formed could also depend on the extent of dilution, diluting medium and presence of other components encountered in different applications. The important feature is for the  
10 lipophilic compound to remain substantially solubilised by the lipid when the compositions are diluted with aqueous fluids.

One principal concern of the invention is to provide  
15 compositions that can be applied directly to mucosal membrane surfaces. Conversion from bulk bilayered structure to ultimately, discrete lipid structures takes place in-situ, utilising endogenous body fluids or secretions. Alternatively, the conversion may take place  
20 on simple dilution with external aqueous medium, prior to use. In both cases, high concentrations of the bioactive compound will be solubilised in the resultant lipid structures. Therefore, delivery of the active compound to the mucosal infection may be greatly  
25 enhanced.

The compositions described in this invention comprise at least one membrane lipid and/or micelle-forming lipid dispersed in an anhydrous hydrophilic medium consisting  
30 of a mixture of a first liquid (e.g. ethanol) for forming a molecular dispersion of the active ingredient within the lipid component and a second liquid (e.g. glycerol) for precipitating the membrane lipid as bilayers. At least one of the first and second aqueous liquids may be  
35 water-miscible and preferably they are both water-

miscible. Active ingredients (for example hydrophobic biocidal agents, steroids, cytotoxic compounds, immunomodulators or immunosuppressants) are partitioned between these lipid bilayers and the hydrophilic liquid phase. The compositions will normally be in the form of a gel, the viscosity of which is dependent on the relative proportions of constituents.

Compositions according to the invention may comprise four main components:

- (a) at least one membrane lipid or mixture of a membrane lipid and a micelle-forming lipid;
- (b) one or more active ingredients;
- (c) at least one pharmaceutically acceptable solvent for the lipid; and
- (d) at least one hydrophilic organic liquid that can cause precipitation of lipid bilayers.

Any membrane lipid, or combination of membrane lipids capable of forming lipid bilayers may be used as component (a). Preferred lipids for use in the present invention include phospholipids (e.g. phosphatidylcholine (PC), phosphatidylethanolamine (PE), phosphatidylglycerol (PG), phosphatidic acid (PA) and sphingomyelin), glycolipids, cerebrosides, gangliosides and their lyso-derivatives e.g. enzyme-modified monoacyl derivatives. Where the use dictates, mixtures of modified lipids such as hydroxylated and acetylated phospholipid may also be used. For specialised use, such as in drug-targeting applications, the lipids may also be in the form of derivatives (e.g. with polyoxyethylene chains) to make the vesicles more robust. Monoacyl lipids which may be derived from glycolipids, sphingolipids or other micelle forming lipids and may be derived from natural plant,

animal or microbacteriological sources, or they may be synthesized or partly synthesized e.g. polyethylene glycol (PEG) derived monoacyl phospholipids e.g. pegalated monoacyl phosphatidyl ethanolamine.

5 Preferably, the lipid comprises two or more membrane lipids. Mixtures of lipid commonly known as lecithins are preferred. They may be natural or synthetic, hydrogenated, partially hydrogenated or unsaturated. They may be of animal, enzyme modified or plant origin.

10 In practice, commercial mixtures of lecithins and enzyme modified lecithins which contain at least 95% of total lipids, of which the major component is at least 40% phosphatidylcholine, are preferred. The amount of lipid used depends on the active ingredients and should be

15 sufficient to ensure that it is in molecular dispersion. In general about 50% is the highest amount that should be required, although larger amounts can be used where necessary. The usual range is between 10% to 30% of phospholipid.

20

The active ingredient (b) can be any of a wide-range of biologically active lipophilic materials including medicaments, hormones, vaccines, peptides, vitamins immunosuppressants (e.g. cyclosporin or other cyclic

25 peptides) or immunomodulators (vaccines). Alternatively, it could be any other lipophilic material of medical and biological interest such as antioxidants, marker compounds and flavouring materials. The amount of lipophilic compound carried in the composition can be up

30 to 10% , but typically it is between 0.1% to 5%. For very potent compounds, amounts less than 0.1% are often used.

Component (c) is an anhydrous preferably water-miscible

35 organic liquid which is a solvent for the lipid and in

most cases for the lipophilic active compound. In general, the amount of compound (c) should not exceed that required to dissolve the compound and lipophilic compound and allow the formation of a molecular dispersion of the active ingredient. Ethanol is the preferred component but ethanol-free formulations may be required for some purposes and other aliphatic alcohols, e.g. methanol, propanol, and isopropyl alcohol, or other suitable solvents (e.g. propylene glycol, diethylene glycol monoethyl ether (Transcutol) and propylene glycol carbonate), or mixtures of such solvents, may be used in those applications where their use is permitted. The broad range of component (c) that can be used is between 2.5 to 25 wt%. The preferred range is 2.5 to 10 wt%. The most preferred range is 5 to 7.5 wt%.

Component (d) is a second anhydrous organic liquid, preferably miscible with water and component (b), which is of a nature, and is present in an amount, to cause the membrane lipid (or lipids) in the preparation to form bilayers. Suitable liquids are glycerol, which is preferred on account of its absence of toxicity, or propylene glycol, but again other hydrophilic liquids for example the polyethylene glycols, glycofurols and propylene glycol derivatives may be used in those applications where their use is permitted. It will be noted that, depending on the other materials used, propylene glycol can be component (c) or component (d). The broad range of component (d) is between 30 to 90 wt%, the preferred range 40 to 90 wt% and the most preferred range 50 to 80 wt%.

Under some circumstances, component (d) may be replaced, or partially replaced by a sugar solution of high concentration, e.g. glucose, xylose or sorbitol dissolved

in a small amount of water.

The normal method of preparation is first to dissolve the lipid component (a) in component (c) and then add the  
5 active component (b) to form a molecular solution. Depending on the relative solubilities and temperature labilities of components (a) and (b), it may be found preferable to reverse this order of dissolution or to co-solubilise the two components.

10

To avoid unacceptably high concentrations in the final composition, and the possible requirement of complicated solvent removal steps, component (c) should be used in relatively low concentration. The amount of ethanol  
15 required to solubilise the lipid is typically about 25% w/w based on the weight of the lipid. Preferably the amount by weight of ethanol (or any alternative solvent used as component (c)) should not exceed the amount by weight of lipid. Complete dissolution of the lipid  
20 component in this first stage of preparation although desirable, is not always possible or indeed necessary, in order to achieve the formation of a molecular dispersion of the active ingredient in the final composition.

25

The second stage of the preparation consists of the addition of component (d) in order to precipitate the lipid as bilayers. The concentration of component (d) is, in most cases, not critical and is dictated mainly  
30 by the desired rheological properties of the preparation. It should, however, be present in sufficiently high concentration to ensure that all, or by far the greater part of the lipid, is in a bilayer configuration.

35 In some cases, where the active ingredient has

significant solubility in mixtures of components (c) and (d), and exposure of the lipid and/or the active ingredient to high temperatures for prolonged periods of time must be avoided, complete dissolution of the active ingredient is unnecessary when the composition is initially formed and the preparations will clear on standing. The efficiency of incorporation of the active ingredient under these conditions, however, is strongly dependent on the degree of subdivision of the active material. The active ingredient may be of particle size 1 to 10  $\mu\text{m}$  at the time of incorporation, or it may be incorporated at larger particle size and the composition may then be treated in a colloid mill to bring about molecular dispersion.

Similarly, in compositions containing high concentrations of active ingredient, precipitation of some of the active ingredient in the form of small particles may occur on the addition of component (d). This, however, is often reversible on standing.

In presentations such as antifungal gels, it is beneficial to prolong contact between the composition and the underlying lesion. In such cases, small amount of a hydrophilic colloid such as a hydrocolloid, polymer or a natural gum can be blended into the preparation. Suitable materials are water-soluble but insoluble in the non-aqueous composition. Alginates e.g. sodium alginate, carrageenan, hydroxypropyl cellulose and a copolymer of N-vinyl-2-pyrrolidone and vinyl acetate (Plasdone) have been successfully employed. On application, the gums hydrate in aqueous fluid and confine the composition to the site of application, thereby allowing extended conversion into liposomes or other lipidic particle structures. Alternatively to provide compositions that



have protective properties, larger amounts of the hydrocolloid may be required and the gel base may be used with or without a medicament. In general we have found that amounts of polymer, hydrocolloid or natural gum  
5 incorporated should be 0.5 to 10 wt.% based on the total weight of the composition, preferably 2 to 5 wt.%. Below 0.5 wt.% there is only slight improvement in adhesion, whereas above 10 wt.% the material becomes glutinous and is difficult to handle.

10

Formulations of this invention can be reliably and economically produced on a large scale and can be stable for extended periods. They can be produced under aseptic conditions or, on warming to a temperature of about 45°C,  
15 or may be sterilised by aseptic filtration. They may be packed in tubes or in pump-type dispensers.

The invention, and in particular, its application to the formulation of anti-fungal compositions, is illustrated  
20 in the accompanying examples.

#### EXAMPLE 1

##### Miconazole and Clotrimazole Formulations

Anti-fungal gels were made from the following  
25 formulations:

5	*Phospholipids ( 45% phosphatidylcholine-PC)	20%
	Absolute ethanol	as indicated
	Antifungal drug	as indicated
	Glycerol B.P.	as indicated

\* Epikuron (Lucas Meyer)

Approximately 10% of the total lipid and all the ethanol were weighed into an amber glass jar and mixed until all the lipid had dispersed. The antifungal drug was added to the lipid/ethanol mixture and further mixing was carried out to break up the drug particles. The remainder of the lipid was added and mixing was continued until all the lipid had been dispersed. The glycerol was then added and stirring was continued until a homogenous gel was obtained. It was clear and translucent with a sweet pleasant taste and could be applied directly to the mucosa. From about 1% to 10% or more, but preferably about 2% to 5% of a hydrophilic polymer or natural gum can be dispersed in powder form to render the preparation muco-adhesive. Compositions utilising lipid blends or lecithins which contain 45% PC, form liposomes on conversion with water. The drug is associated with the liposomes at very high levels, ie greater than 90%.

Miconazole (1-[2-dichloro- $\beta$ -[2,4-dichlorobenzyl)oxyphenethyl]imidazole mononitrate is a white crystalline powder that is very slightly soluble in water and very soluble in ethanol. It has a wide antifungal spectrum and possesses some antibacterial activity. It is available from R W Unwin & Co. Ltd. Clotrimazole[1-(o-chloro- $\alpha,\alpha$ -diphenylbenzyl)imidazole] is a white crystalline powder that is insoluble in water and soluble in ethanol. It has a wide antifungal spectrum and possesses some antibacterial activity. It is available

from Fabbrica Italiana Sintetici.

The preparation of the pro-liposome miconazole and clotrimazole gel was as described above. Early  
5 formulation work was carried out to find:

i) A gel formulation with enough ethanol to dissolve the miconazole and clotrimazole giving the gel a translucent appearance, but  
10 with sufficient ethanol as to obtain an optimum viscosity profile (Table 1).

ii) A gel formulation with not too much miconazole or clotrimazole to induce crystallisation of the  
15 drug in the gel (Table 2).

5% ethanol in the gel was found to be most suitable for both gel viscosity and drug crystallisation. At this level of ethanol, miconazole drug crystallisation was not  
20 seen in 1% and 2.5% miconazole gels. Drug crystallisation was seen in the 1% and 2.5% clotrimazole gels, but as the 1% clotrimazole showed much less drug crystallisation, it is expected that gels with just less than 1% clotrimazole would show no drug crystallisation  
25 at all.

The % encapsulation of the antifungal drugs miconazole and clotrimazole was determined by filtration of the liposome dispersion and by HPLC analysis of the  
30 unfiltered and filtered solutions for drug content. The principle behind the filtration technique is that the liposomes (with encapsulated antifungal) can be passed through 200 nm filters, while unencapsulated drug particles remain too large to pass through the filters.  
35 Pro-liposome gels were prepared, containing 2.5% and 1%

miconazole and 1% and 0.5% clotrimazole. The % drug encapsulation into liposomes are shown in Table 3. It was found that both the miconazole and clotrimazole gels showed high levels of liposomal encapsulation. The %  
5 encapsulation of drug would be expected to decrease if crystallisation occurred in the gels with time.

Miconazole and clotrimazole gels were prepared for long term stability studies with drug concentrations equal to,  
10 or less than corresponding proprietary preparations. Samples were stored in sealed glass containers, protected from light, at 4°C, 25°C, and 40°C. The content of drug in the gels was assayed by HPLC analysis to evaluate the extent of drug degradation. The stability of both  
15 miconazole and clotrimazole, in the pro-liposome formulations was dependent on the drug concentration. The higher the concentration, the lower the rate and extent of degradation. Drug solubility in the base formulation is known to limit the optimal extent of drug  
20 concentration, thus the formulation remains a balance between stability and concentration. The crystallisation of drug in the gel was measured by measuring liposomal encapsulation over time.

TABLE 1.  
Effect of changing the ethanol concentration in (a) miconazole and  
(b) clotrimazole pro-liposome gel formulations

## (a) MICONAZOLE

Ethanol	45% PC lipid %	Miconazole %	Glycerol %	Initial Comments	Gel Appearance After 9 Months Storage At R.T.
2.5	20	1	76.5	Miconazole soluble in ethanol + 10% of lipid. Not enough ethanol to disperse all the lipid and form a gel	Gel not formed
5	20	1	74	Miconazole soluble in ethanol + 10% of lipid. Clear, golden gel formed with good viscosity	Clear, golden gel
10	20	1	69	Miconazole soluble in ethanol + 10% of lipid. Clear, golden gel formed with runny viscosity	Clear, golden gel
15	20	1	64	Miconazole soluble in ethanol + 10% of lipid. Clear, golden gel formed with very runny viscosity	Clear, golden gel

## (b) CLOTRIMAZOLE

Ethanol %	45% PC %	Clotrimazole %	Glycerol %	Initial Comments	Gel Appearance After 9 Months Storage At R.T.
-----------	----------	----------------	------------	------------------	---

2.5	20	1	76.5	Clotrimazole insoluble in ethanol + 10% of lipid. Not enough ethanol to disperse all the lipid and form a gel	Gel not formed
5	20	1	74	Clotrimazole soluble in ethanol + 10% of lipid. Clear, golden gel formed with good viscosity	Golden gel with slight appearance of crystalline material.
10	20	1	69	Clotrimazole soluble in ethanol + 10% of lipid. Clear, golden gel formed with runny viscosity	Clear, golden gel
15	20	1	64	Clotrimazole soluble in ethanol + 10% of lipid. Clear, golden gel formed with very runny viscosity	Clear, golden gel

**TABLE 2**  
Effect of changing the drug concentration in pro-liposome gel formulations

(a) MICONAZOLE formulations

Ethanol	45%PC	Miconazole	Glycerol	Initial Comments	Gel Appearance After 8 Months Storage At R.T.
5	20	1	74	Miconazole soluble in ethanol + 10% of lipid. Clear, golden gel formed with good viscosity	Clear, golden gel
5	20	2.5	72.5	Miconazole soluble in ethanol + 10% of lipid. Clear, golden gel formed with good viscosity	Clear, golden gel

(b) CLOTRIMAZOLE formulations

Ethanol	45%PC	Clotrimazole	Glycerol	Initial Comments	Gel Appearance After 8 Months Storage At R.T.
5	20	1	74	Clotrimazole soluble in ethanol + 10% of lipid. Clear, golden gel formed with good viscosity	Golden gel with slight appearance of crystalline material.
5	20	1	72.5	Clotrimazole soluble in ethanol + 10% of lipid. Clear, golden gel formed with good viscosity	Golden gel with large amounts of white, crystalline material in gel.

TABLE 3

The % encapsulation of antifungal drugs into  
liposomes from pro-liposome gels

5

10

Sample	% Encapsulation of Antifungal	
	T = 5 months	
1 % Miconazole Gel	4°C	97.4 %
	25°C	102.2 %
	40°C	99.4 %
2.5 % Miconazole Gel	4°C	100.4 %
	25°C	97.8 %
	40°C	97.5 %
0.5 % Miconazole Gel	4°C	98.3 %
	25°C	96.8 %
	40°C	98.5 %
1 % Clotrimazole Gel	4°C	94.4 %
	25°C	96.3 %
	40°C	97.2 %

EXAMPLE 2Gels containing Amphotericin B

15 Using the method of Example 1, pro-liposome gels were made with various concentrations of amphotericin B. The % encapsulation of the amphotericin B into liposomes (in 0.1% suspensions of the gel formulations) was measured at 3 days, 1 month, 3 months and 6 months after pro-liposome preparation. The gels were stored at 4°C in sealed containers, protected from light (Table 4). It was found that amphotericin B showed high levels of liposomal encapsulation with lipid: drug ratios in the range 20:1 - 200:1, and the level of encapsulation level  
20  
25 changed relatively little on long term storage.



TABLE 4

The % encapsulation of amphotericin B into liposomes from a pro-liposome on long term storage at 4°C.

Sample	% Encapsulation Of Amphotericin B			
	T = 3 days %	T = 1 month %	T = 3 months %	T = 6 months %
1 % Amphotericin B Gel (20:1, lipid:drug)	103.2	92.2	95.4	89.0
0.5 % Amphotericin B Gel (40:1, lipid:drug)	97.0	102.9	93.4	94.6
0.25 % Amphotericin B Gel (80:1, lipid:drug)	97.0	96.4	95.8	94.6
0.1 % Amphotericin B Gel (200:1, lipid:drug)	88.5	95.5	94.8	90.5

EXAMPLE 3Gel containing amphotericin B and buffer

A pro-liposome gel containing amphotericin B was formulated as follows:

*Phospholipids (90% PC)	20%
Absolute ethanol	5 %
Amphotericin B	1 %
Glycerol B.P.	73.9 %
Tris base (Sigma)	0.1 %

\*Epikuron (Lucas Meyer)

The gel was made by dispersing the lipid, 90% of which is phosphatidylcholine, and ethanol into a vessel which

was sealed and left for 24 hours at 25°C until the lipid had dispersed. The amphotericin B was then added to the lipid/ethanol mixture and homogenised. Tris base for buffering the gel to approximately pH 6.5 was dissolved in the glycerol by heating them together at 60°C for about 30 minutes, after which the solution was cooled to room temperature. The glycerol solution of Tris base was stirred into the lipid mixture until a homogeneous bilayered gel formed. A similar preparation can be made using a lipid mixture containing 45% PC.

Amphotericin B with an average particle size of 1-5 $\mu$  was found to be well incorporated into the gel. It showed near 100% encapsulation into liposomes formed from the pro-liposome by addition of water, whereas incorporation of coarser particles was found to be much less efficient. The selected content of Tris base provides good stability for the lipid whilst increasing the stability of the amphotericin B compared to a gel containing no buffer.

#### EXAMPLE 4

*Phospholipids (70% PC)	20%
Ethanol	5 %
Triamcinalone	0.1 %
Glycerol	72.9%
Sodium alginate	2%

The method of Example 3 was followed to prepare the bilayered gel. 2% sodium alginate powder was uniformly dispersed in the composition, giving it improved mucoadhesive properties and a slightly more opaque appearance.

EXAMPLE 5Microbiology

Microbiological work was carried out to elucidate two fundamentally different properties of the pro-liposome gel formulation;

- To assess the intrinsic antimicrobial activity of the gel base (without antifungal drug and without dilution to a liposomal dispersion) as a measure of whether the gel base alone would inhibit or support microbial growth on long-term storage, or whether it would need to contain additional antimicrobial preservatives.
- To assess the antifungal activity of the pro-liposome gel formulation (with antifungal drug, diluted to an aqueous liposomal dispersion) compared to an equivalent aqueous suspension of the antifungal drug.

### 1. Gel Base Microbial Challenge

The antimicrobial efficiency of a pharmaceutical preparation is assessed by a simple challenge test (Based on Appendix XVI C BP 1988 P. A200 - P. A202). The following organisms were used in the test: *Aspergillus niger* NCPF 2275, *Escherichia coli* NCTC 1487, *Candida albicans* NCPF 3179, *Pseudomonas aeruginosa* B15 and *Staphylococcus aureus* NCTC 4135.

For the pro-liposome gel base, 10g placebo samples prepared in accordance with Example 1, without the inclusion of the antifungals, were inoculated with micro-organisms to give an inoculum of  $1 \times 10^6$  bacteria or yeast per g of sample or  $1.4 \times 10^4$  mould per g of sample (inoculum volume 1 % of sample). Control samples

- were prepared in the same way using 0.1 % peptone water (for bacteria and yeasts) or 0.1 % peptone water + 0.5 % Tween 80 (for moulds). The inoculated samples were stored at 20°C for 1 month. At regular intervals, 1 g
- 5 sub-samples were removed, and diluted appropriately to determine the number of remaining microorganisms in the sample. The results are shown in Figure 1 which shows the result of challenging the gel base with (a) bacteria, (b) yeast and (c) mould.
- 10 For bacteria and yeasts, the number of organisms recoverable from the gel is instantly reduced by a factor of at least  $10^3$ . For the mould, the number of organisms recoverable from the gel is instantly reduced by a factor of at least  $10^2$ . It is thought that this surprisingly
- 15 effective antimicrobial activity is the consequence of both the microcidal effect of the ethanol and the osmotic potential of the glycerol. It was an unexpected bonus and as a result, the pro-liposome gel base alone is expected to have acceptable preservative properties
- 20 for many uses as a topical or oral pharmaceutical preparation, without the need for additional preservatives in the gel base (i.e. the pro-liposome gel base formulation may pass the BP microbial challenge test). Most preservatives used to prevent microbial
- 25 spoilage are sensitisers. Therefore preparations that have natural preservation properties without the need for added antimicrobials are preferred.

## 2. *In Vitro* Antifungal Activity

- 30 The antifungal properties of the pro-liposome gel (with antifungal) was assessed using two methods. The first, the cup-plate diffusion assay, examines antifungal activity by diffusion through a solid medium. The

second, evaluates antifungal activity in a liquid medium. The tests were both carried out to compare the efficacy of the liposomal antifungal preparations according to the invention, to that of equivalent aqueous suspensions of  
5 the antifungal.

#### a) Cup-Plate Diffusion Assay

The pro-liposome gels containing 1% miconazole, 2.5% clotrimazole and 1.0% amphotericin, prepared from Examples 1, 2 and 3 respectively, were mixed and diluted  
10 appropriately, in aqueous phosphate buffer to form liposomal dispersions containing the same concentration of drug as the aqueous suspensions (1, 10 and 100 µg/ml). A cup-plate diffusion assay was carried out using *Candida albicans*. Tryptone-soya agar plates were used that had  
15 been inoculated with *Candida albicans* NCPF 3179 to a final concentration 10<sup>6</sup> viable cells per ml. Solutions were incubated in 5 mm wells for 2 hours at room temperature, followed by 18 hours at 37°C. The zones of growth inhibition of the *Candida albicans* were then  
20 measured (Figure 2) in comparison with equivalent aqueous suspensions of antifungals for (a) amphotericin B, (b) miconazole and (c) clotrimazole.

The liposomal formulations of the antifungal drugs, showed greater zones of inhibition than the aqueous  
25 antifungal suspensions. By comparison, the control gel base (without antifungal) showed no zone of inhibition. The larger zones of inhibition with the liposome preparations reflects the higher bio-availability of the antifungal drugs from this formulation.

#### 30 b) Growth Inhibition In A Liquid Medium

The pro-liposome gels were mixed, and diluted appropriately, in aqueous medium to form liposomal

dispersions containing the same concentration of drug as aqueous suspensions. 1 ml samples were then mixed with 9 mls of tryptone-soya broth, inoculated with *Candida albicans* NCPF 3179 to a final concentration  $10^6$  viable cells per ml. The optical density (at 600 nm) was then read at intervals as a measure of the growth (and growth inhibition) of the *Candida albicans*. Figure 3 shows the growth inhibition of *Candida albicans* in a liquid medium as a function of time (Amphotericin B concentration 1  $\mu\text{g/ml}$ ). Figure 4 shows the inhibition of *Candida albicans* in a liquid medium as a function of antifungal concentration (Incubation time 24 hours). Figure 5 shows the growth inhibition of *Candida albicans* in a liquid medium by various concentrations of liposomal amphotericin B formulations (Incubation time 24 hours).

As in the cup-plate diffusion assay experiment, the liposomal preparation was seen to be much more efficient at inhibiting the growth *Candida* compared to the aqueous dispersion. This emphasises the high bio-availability of liposomal amphotericin B and the ability of the liposomal preparation to act as a reservoir for the antimicrobial.

The *in vitro* tests indicate that the pro-liposome antifungal gels exhibit superior antifungal activity compared to the free antifungal drugs alone. If this property is extrapolated to an *in vivo* situation, then it is likely that the natural affinity of phospholipid for mucosa surfaces would further improve the retention and availability of the antifungal drug.

The pro-liposome formulation is ideal as a carrier for topical administration of antifungal drugs to mucosal surfaces. All the formulation components are pharmaceutically acceptable. The gel has a pleasant,

sweet taste and is sufficiently viscous to allow it to be applied to the gums or mucosa if desired. Furthermore, on conversion to liposomes or other lipid structures (depending on the type of lipid used), the active compound remains in molecular solution, with a very high degree of entrapment, prolonging release of drug at the site of infection. The gel base alone does not support microbial growth and, using careful production conditions, the gel should have a very low initial microbiological burden.

The formulations containing amphotericin B disclosed in this invention, are likely to be stable at 25°C for several months and at 4°C for at least a year, enabling development of an improved, commercially viable pharmaceutical product with a good shelf life. A stable pro-liposome formulation which delivers relatively high doses to the intended treatment site should benefit to patients with Candida infections. The pro-liposome gel is easy and economical to produce, and shows much potential for improving the bio-availability of several different antifungal drugs. Furthermore, the presentation of a convenient and palatable gel should promote patient compliance.

#### EXAMPLE 6

##### 25      Additional polymer and hydrocolloid materials

The method of Example 4 was repeated with 1 wt.% and 5 wt.% sodium alginate (Keltone HVCR) and with 1 wt.%, 2 wt.% and 5 wt.% each of carrageenan (Gelcarin GP37ANF), hydroxypropyl cellulose (Kucel HF) and a copolymer of N-vinyl-2-pyrrolidone and vinyl acetate (Copolyvidone; Plasdone S630). There was improved adhesion in each case compared to a corresponding formulation with no added

polymer, and the improvement in adhesiveness was in the order sodium alginate > carrageenan >> Copolyvidone >> hydroxypropyl cellulose. The gel containing 5 wt.% sodium alginate tested by adhesion to dialysis tubing [RS Manly (Ed), Adhesion in Biological Systems, Academic Press, N.Y. 1970, Chapter 10, J L Chen and G N Cyr, 'Compositions Producing Adhesion through Hydration'] produced a mean adhesion time of about 230 minutes, and 5 wt.% carrageenan produced a mean adhesion time of about 190 minutes. Microbiological studies showed that none of the polymers, at the levels used, materially reduced the antifungal activity of the amphotericin-containing pro-liposome gel which remained greatly superior to that of a commercially available amphotericin suspension used as a control.

#### EXAMPLE 7

##### Use of Alternative Solvents

The lipid of Example 1 was found to disperse in Transcutol (Gattefosse), lauryl lactate and isopropyl myristate at a solvent: lipid ratio of at least 1:1. The above solvents can mix into ethanol at certain concentrations, replace the ethanol used in other examples, but are not water miscible. Samples were then prepared in which amphotericin and lipid were dispersed in these solvents and in each case bright yellow opaque suspensions of the drug were obtained. Glycerol was added to portions of the well-dispersed samples to form gels whose viscosity may be controlled by the amount of glycerol added. Suitable formulations are as follows, the proportions being of lipid:solvent:amphotericin:glycerol.



31

Transcutol	20:20:1:59
Lauryl Lactate	20:30:1:49
Isopropyl Myristate	20:30:1:49

5

EXAMPLE 8Ethanol-free amphotericin pro-liposome gel

A lipid (2g) which contains about 45% phospholipid of which 33% is phosphatidyl choline and about 10.5% is mono-acyl phosphatidyl choline and ethanol (0.5g) were placed in a glass tube and left at 40°C until the lipid had become dispersed in the ethanol. The resulting solution was cooled to room temperature and amphotericin (0.1g) was added. The resulting lipid mixture was subjected to ultrasound for 30 minutes. Separately TRIZMA base (TRIS, 0.02g) which is a buffer and glycerol (14.78g) were heated at about 200°C for about 30 minutes after which the base had completely dissolved. The glycerol solution was cooled to room temperature and a portion (7.4g) was mixed with the lipid mixture to produce an opaque mustard-coloured gel. The above formulation is ethanol free, as Example 7, and the presence of the mono-acyl phosphatidyl choline promotes uptake of the additive.

CLAIMS

1. Use of a substantially non-aqueous composition comprising at least one membrane lipid or mono-acyl derivative thereof suspended in a hydrophilic medium in the manufacture of a composition for application to the mucosa as a soothing, protective or lubricating agent or as a carrier of a medicament in molecular dispersion.
2. Use according to claim 1, wherein the membrane lipid is a mono-acyl lipid.
3. Use according to claim 1, wherein the membrane lipid is a diacyl lipid.
4. Use according to claim 1 or claim 2, wherein the membrane lipid is a mixture of a mono-acyl lipid and a diacyl lipid.
5. Use according to claim 1, wherein the membrane lipid comprises egg yolk lecithin or soybean lecithin.
6. Use according to claim 5, wherein the egg yolk lecithin or soybean lecithin comprises at least 40% w/w phosphatidyl choline.
7. Use according to any preceding claim, wherein the composition comprises diacyl lipid or a mixture of a monoacyl lipid and a diacyl lipid, a first organic liquid which can dissolve the lipid or lipids and a second organic liquid which is miscible with the first liquid and which is of a nature and in an amount such that the membrane lipid or lipids is or are at least partly in the form of bilayers, at least one of the first and second liquids being water miscible.

8. Use according to claim 7, wherein the first water-miscible organic liquid comprises 2.5 - 25 % w/w of the composition.
- 5 9. Use according to claim 7, wherein the first water-miscible organic liquid comprises 2.5 - 10% w/w of the composition.
- 10 10. Use according to claim 7, wherein the first water-miscible organic liquid comprises 5 - 7.5 wt% of the composition.
11. Use according to any of claims 7 to 10, wherein the first organic liquid is ethanol.
- 15 12. Use according to any of claims 7 to 10, wherein ethanol is present in the first organic liquid.
- 20 13. Use according to any of claims 7 to 12, wherein the second organic liquid comprises 30 to 90% w/w of the composition.
- 25 14. Use according to any of claims 7 to 12, wherein the second organic liquid comprises 40 to 90% w/w of the composition.
- 30 15. Use according to any of claims 7 to 12, wherein the second organic liquid comprises 50 to 80 % w/w of the composition.
- 35 16. Use according to any of claims 7 to 15, wherein the second organic liquid comprises glycerol.
17. Use according to any preceding claim, wherein the composition further comprises a hydrocolloid, polymer or

natural gum in an amount which is effective to increase the muco-adhesion of the composition.

18. Use according to claim 17, wherein the composition  
5 comprises 1 to 10% w/w of the hydrocolloid, polymer or natural gum.

19. Use according to claim 13, wherein the composition  
10 comprises 2 to 5% w/w of the hydrocolloid, polymer or natural gum.

20. Use according to any of claims 17 to 19, wherein the  
hydrocolloid or natural gum is a cellulose derivative,  
carrageenin or an alginate.

21. Use according to any preceding claim, wherein the  
15 composition is free from other preservative.

22. Use according to any preceding claim, wherein the  
20 composition is for application to any of the places indicated below for any of the purposes indicated below:

- (a) oral, buccal mucosa for soothing mouth ulcers;
- (b) vaginal mucosa for soothing, protection or  
lubrication;
- 25 (c) rectal mucosa for soothing, protection, or the relief of haemorrhoids;
- (d) a stoma for protection and/or lubrication;
- (e) a surgical device or glove as a lubricant;
- (f) gastric mocosa.

30 23. Use according to any of claims 1 to 22, wherein the composition further comprises a lipophilic medicament in molecular dispersion in said composition.

35 24. Use according to claim 23, wherein the composition

comprises any of the medicaments indicated below for the indications indicated below and for application to the places indicated below:

- 5 (a) an anti-fungal agent for Candidiasis for application to the oral mucosa or vagina;
- (b) an anti-viral agent for application to the oral mucosa or vagina;
- (c) an anti-inflammatory agent for application to the oral, rectal or vaginal mucosa.

10

25. Use according to claim 24, wherein the composition comprises any of:

- (a) miconazole, clotrimazole or amphotericin B;
- (b) acyclovir;
- 15 (c) triamcinalone or another steroidal anti-inflammatory agent.

26. A composition comprising at least one water-insoluble biologically active compound in molecular dispersion in bilayers of a substantially non-aqueous composition comprising at least one membrane lipid suspended in a hydrophilic medium.

20

27. The composition of claim 26, wherein the biologically active compound is a polyene macrolide.

25

28. The composition of claim 26, wherein the biologically active compound is nystatin or amphotericin B.

30

29. The composition of claim 26, wherein the biologically active compound is an imidazole derivative.

30. The composition of claim 26, wherein the biologically active compound is a clotrimazole or

35

miconazole.

31. The composition of claim 26, wherein the biologically active compound is 5-fluorocytosine.

5

32. The composition of any of claims 26 to 31, containing 0.1 - 2.5% w/w of the biologically active compound.

10 33. The composition of any of claims 26 to 31, containing about 1% w/w of the biologically active compound.

15 34. The composition of any of claims 26 to 33 wherein the composition comprises a mixture of a membrane lipid and a micelle-forming lipid.

35. The composition of claim 34, wherein the micelle forming lipid is a monoacyl lipid.

20

36. The composition of any of claims 26 to 35, wherein the membrane lipid comprises egg yolk lecithin or soybean lecithin.

25 37. The composition of claim 36, wherein the egg yolk lecithin or soybean lecithin is of a grade which comprises at least 40 wt% phosphatidyl choline.

30 38. The composition of any of claims 26 to 37, which is dissolved in molecular dispersion in a substantially anhydrous system comprising one or more membrane lipids, a first organic liquid which can dissolve the lipid or lipids and a second organic liquid which is miscible with the first liquid, and which is of a nature and is in an  
35 amount such that the membrane lipid or lipids is or are

at least partly in the form of bilayers, at least one of the first and second liquids being water-miscible.

39. The composition of claim 38, wherein the first  
5 organic liquid is ethanol.

40. The composition of claim 38, wherein the first organic lipid contains no ethanol.

10 41. The composition of claim 38, 39 or 40, wherein the second organic liquid is glycerol.

42. The composition of any of claims 26 to 42, which is  
sterile.

15 43. The composition of any of claims 26 to 42, further comprising a hydrocolloid, hydrophilic polymer or natural gum in an amount which is effective to render the composition muco-adhesive.

20 44. The composition of claim 43, wherein the hydrocolloid, hydrophilic polymer or natural gum is present in an amount of 0.05 - 10 wt.% based on the total weight of the composition.

25 45. The composition of claim 43, wherein the hydrocolloid, hydrophilic polymer or natural gum is present in an amount of 2 - 5 wt.% based on the total weight of the polymer.

30 46. The composition of any of claims 43 to 45 which comprises sodium alginate.

35 47. The composition of any of claims 43 to 45 which comprises carrageenin.

48. The composition of any of claims 26 to 47, which has a pH of 5 - 7.5.

49. A method for preparing a composition as defined in any of claims 26 to 48, including the steps of:

dissolving or dispersing at least a portion of the membrane lipid in a first organic liquid which can dissolve or disperse the lipid;

adding the biologically active compound to the solution or dispersion; and

adding a second organic liquid which is miscible with the first organic liquid and is of a nature and is in an amount such that the membrane lipid or lipids is or are at least partly in the form of bilayers, at least one of the first and second liquids being water-miscible.

50. The method of claim 49, wherein the biologically active compound is added to the solution or dispersion as a micronised powder.

51. The method of claim 50, wherein the composition containing the biologically active compound is milled in a colloid mill.

52. A method for preparing a composition as defined in any of claims 26 to 48, including the steps of:

dissolving or dispersing at least a portion of a membrane lipid in a first organic liquid which can dissolve or disperse the lipid;

adding the biologically active compound to the solution or dispersion; and

adding a second organic liquid which is miscible with the first organic liquid and is of a nature and is in an amount such that the membrane lipid or lipids is or are at least partly in the form of bilayers, whereby



at least 90% of the biologically active compound becomes dispersed in the composition, at least one of the first and second organic liquids being water-miscible.

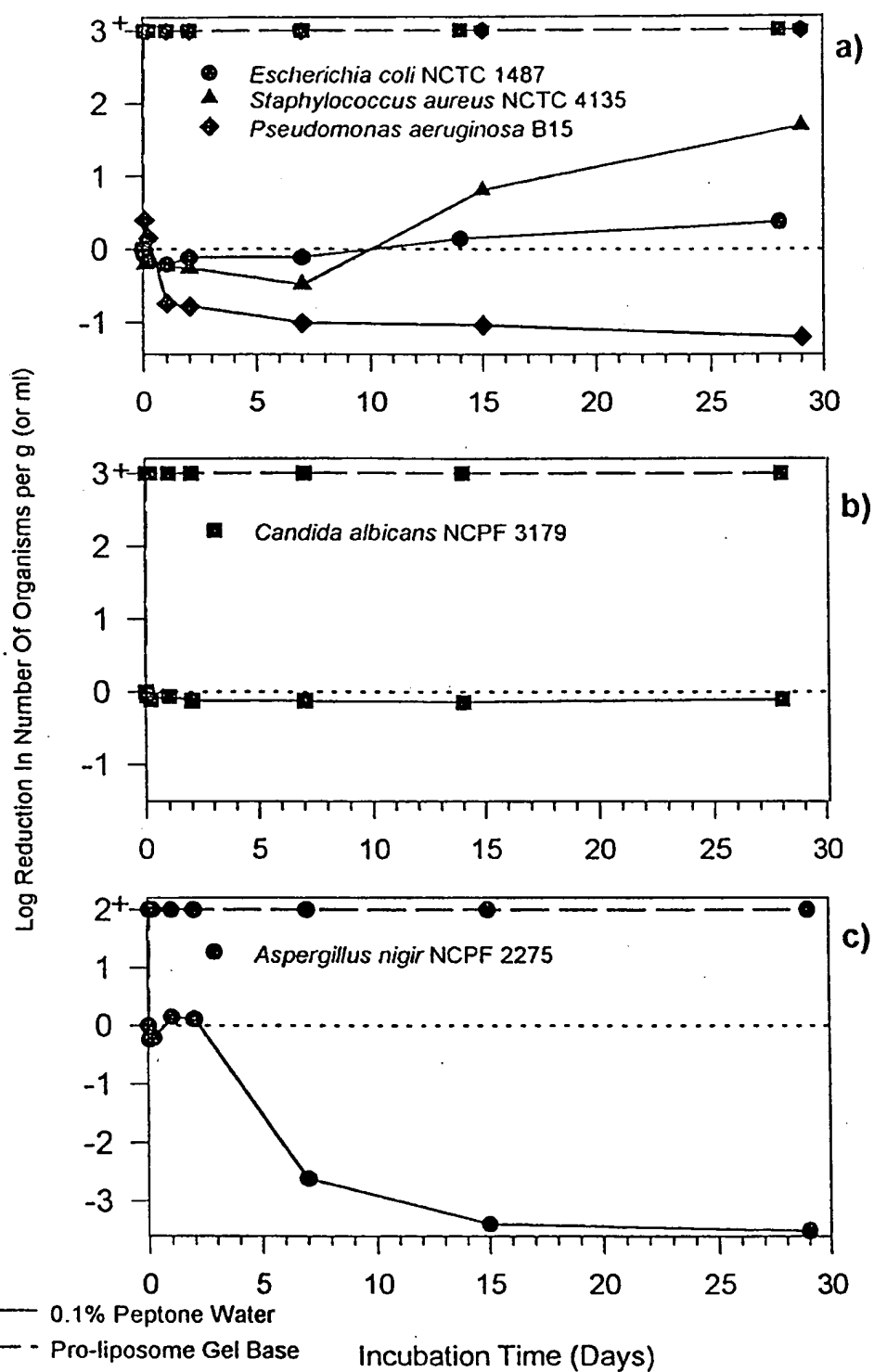


Fig. 1 a) Pro-liposome gel base bacterial challenge. b) Pro-liposome gel base yeast challenge. c) Pro-liposome gel base mould challenge.

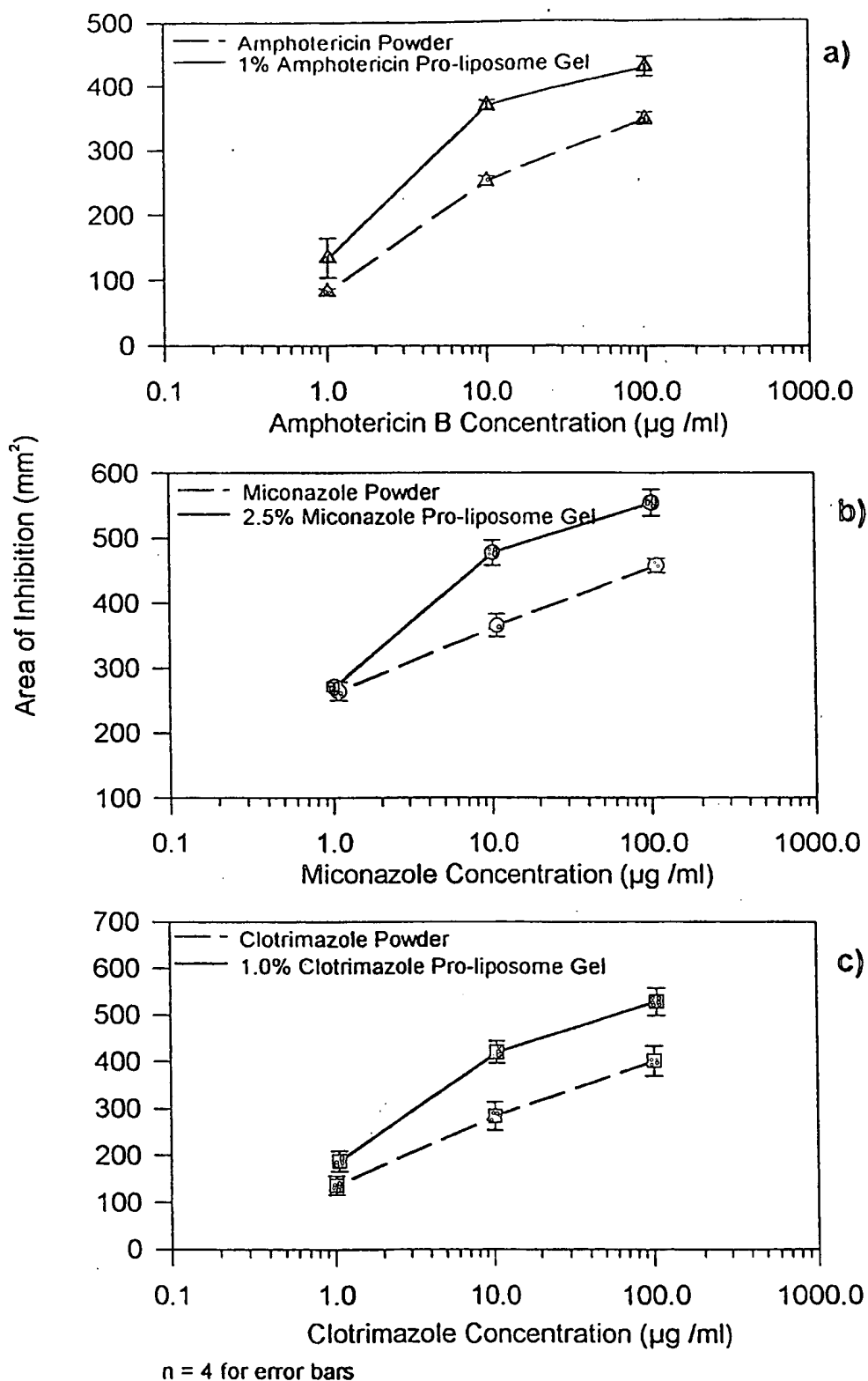


Fig. 2 Cup-plate diffusion assay of liposomal antifungal dispersions, compared to equivalent aqueous suspensions of antifungals. a) Assay of amphotericin B. b) Assay of miconazole. c) Assay of clotrimazole.

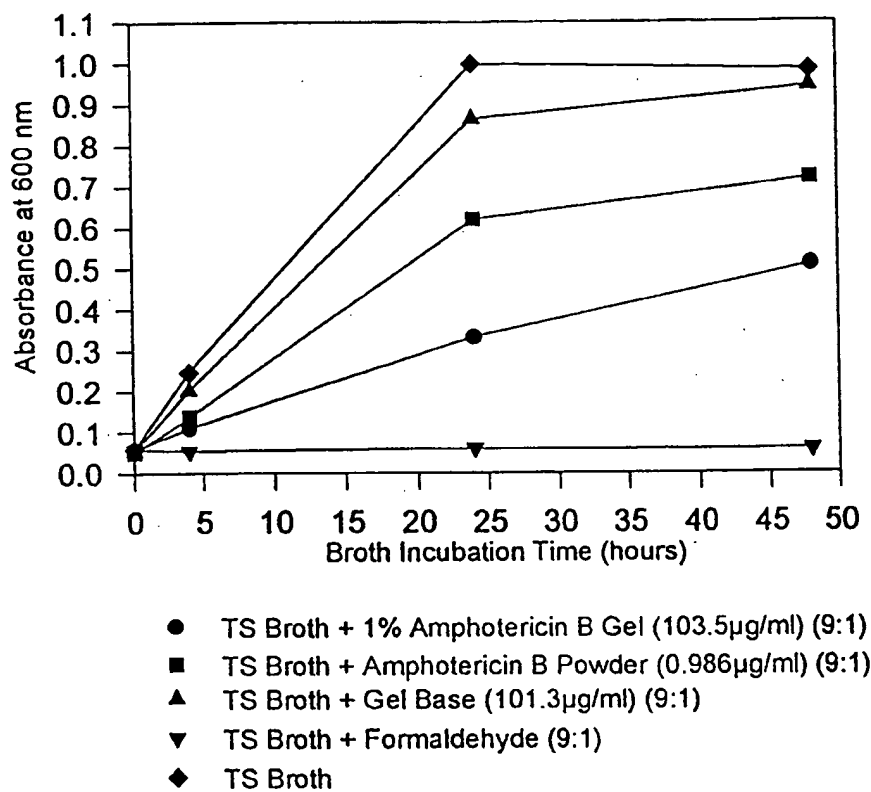


Fig. 3 Growth inhibition of *Candida albicans* in a liquid medium as a function of time (Amphotericin B concentration 1 µg / ml).

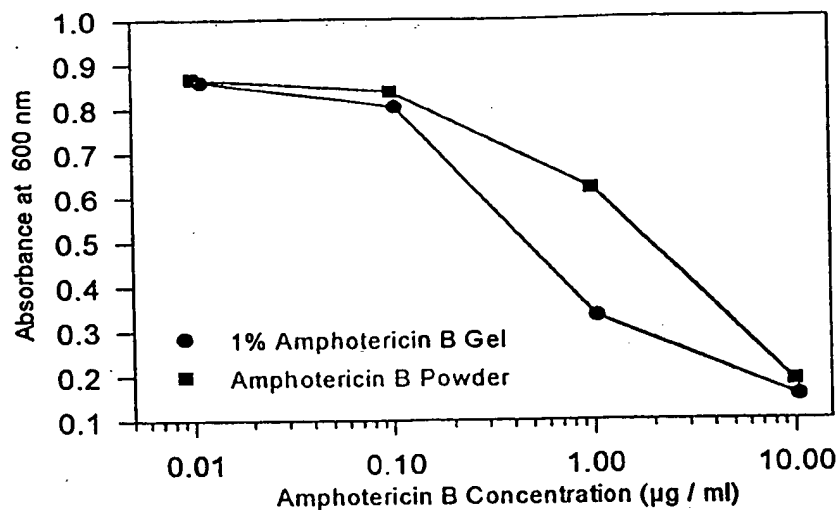


Fig. 4 Growth inhibition of *Candida albicans* in a liquid medium as a function of antifungal concentration (Incubation time 24 hours).

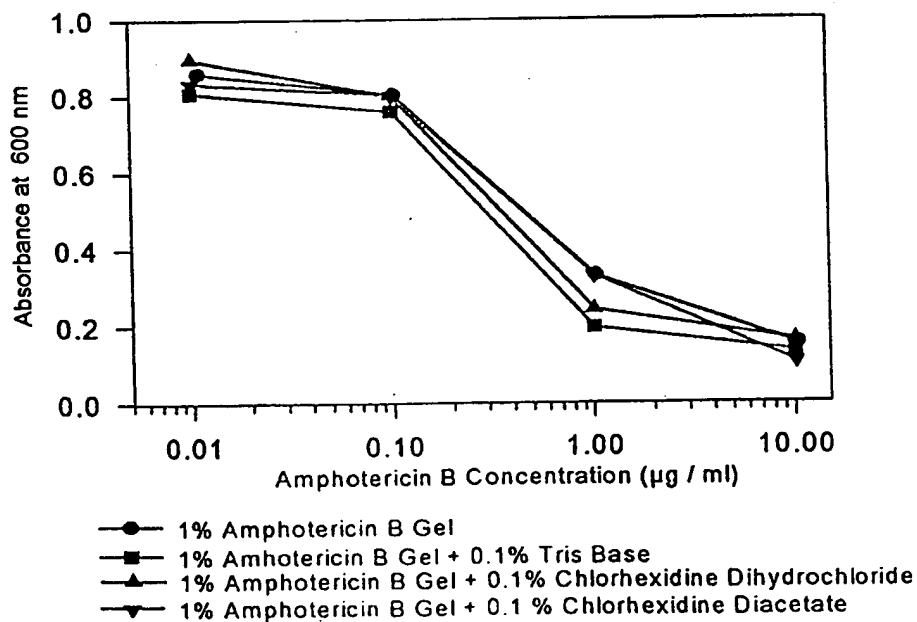


Fig. 5 Growth inhibition of *Candida albicans* in a liquid medium by various concentrations of liposomal amphotericin B formulations (Incubation time 24 hours).



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>A61K 9/127</b>	<b>A3</b>	<b>(11) International Publication Number:</b> <b>WO 99/29301</b> <b>(43) International Publication Date:</b> 17 June 1999 (17.06.99)
<b>(21) International Application Number:</b> PCT/GB98/03658 <b>(22) International Filing Date:</b> 8 December 1998 (08.12.98)  <b>(30) Priority Data:</b> 9725946.9      8 December 1997 (08.12.97)      GB  <b>(71) Applicant (for all designated States except US):</b> PHARES PHARMACEUTICAL RESEARCH N.V. [NL/NL]; John B. Gorsiraweg No. 6, P.O. Box 889, Curacao (AN).  <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> LEIGH, Steven [GB/GB]; Beresford & Co., 2-5 Warwick Court, High Holborn, London WC1R 5DJ (GB).  <b>(74) Agents:</b> BERESFORD, Keith, Denis, Lewis et al.; Beresford & Co., 2-5 Warwick Court, High Holborn, London WC1R 5DJ (GB).		<b>(81) Designated States:</b> JP, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims</i> <i>and to be republished in the event of the receipt of amendments.</i>  <b>(88) Date of publication of the international search report:</b> 22 July 1999 (22.07.99)
<b>(54) Title:</b> LIPID COMPOSITIONS AND THEIR USE  <b>(57) Abstract</b>  Use is disclosed of a substantially non-aqueous composition comprising at least one membrane lipid and/or monoacyl derivative thereof suspended in a hydrophilic medium for the manufacture of a composition for application to the mucosa e.g. as a soothing, protective or lubricating agent. The composition can advantageously be used as a carrier for a medicament in molecular dispersion. A method is provided for preparing anti-fungal lipid-based compositions which can achieve high levels of entrapment of a drug and which are stable to storage but convert to liposomes, micelles or like structures in contact with the mucosa. Membrane lipid is dissolved in a first anhydrous organic liquid, for example ethanol, after which the drug is dissolved or dispersed in the resulting mixture and a second anhydrous organic liquid is added to form an anhydrous composition in which the lipid is at least partly in the form of solvate bilayers. A hydrocolloid, hydrophilic polymer or natural gum may be present to render the composition more muco-adhesive. The method has particular value for the preparation of compositions containing miconazole, clotrimazole and amphotericin B.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 98/03658

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 A61K9/127

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 649 660 A (HOECHST AKTIENGESELLSCHAFT) 26 April 1995  see page 2, line 1 - line 7 see page 3, line 4 - page 6, line 18 see page 5, line 20 see page 9; examples 18,20 see page 10; examples 25,27 ---	1,3,5,6, 17-19, 21-26, 29,30, 32,33, 36,37, 42-45
X	EP 0 648 494 A (AMERICAN HOME PRODUCTS) 19 April 1995 see page 10; example 5 see page 15; example 7 ---	26-33, 36-39,42
	-/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

2 June 1999

Date of mailing of the international search report

09/06/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040. Tx. 31 651 epo nl.  
Fax: (+31-70) 340-3016

Authorized officer

Benz, K



# INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 98/03658

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	WO 98 58629 A (PHARES PHARMACEUTICAL RESEARCH N.V.) 30 December 1998  see page 22 - page 23; examples 1,2 see page 27; example 31 ---	1-13,23, 26, 34-39, 42,48
A	DE 195 20 659 A (MIKA) 12 December 1996 see the whole document ---	1-52
X	WO 88 07871 A (WARNER-LAMBERT COMPANY) 20 October 1988  see the whole document see page 3; example 4 ---	1,5,6, 22,26, 32,33,36
X	DE 36 13 799 C (A. NATTERMANN & CIE GMBH) 3 September 1987 see page 5 - page 6; examples 9,10 see claims 1-3,5 -----	26